



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/960,248	09/20/2001	Dominic W. Massaro	UC00-275-2

TP E
FEB 27 2002
PATENT & TRADEMARK OFFICE

John P. O'banion
O'BANION & RITCHIE LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814

CONFIRMATION NO. 4329
FORMALITIES LETTER



OC00000006954884

Date Mailed: 10/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$570.
 - \$450 for 50 total claims over 20.
 - \$120 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 990.

*A copy of this notice **MUST** be returned with the reply.*

Thane

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 VTRU0MG1 00000024 09960248

01 FC:201
02 FC:205
03 FC:202
04 FC:203

370.00 0P
65.00 0P
126.00 0P
370.00 0P



sector 8
#3

PATENT

Docket No.: UC00-275-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DOMINIC W. MASSARO; MICHAEL M. COHEN; JONAS BESKOW
Serial No.: 09/960,248
Filed: SEPTEMBER 20, 2001
For: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH
PRODUCTION MODELS
Group:
Examiner:

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53**

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed October 23, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Check in the amount of \$939 (\$874 filing fees and \$65 surcharge for the fees due as a small entity).
3. First Preliminary Amendment;
4. Petition for Extension of Time under 37 CFR 1.136(a) (Small Entity);
5. Check in the amount of \$195.00 (Extension of Time under 37 CFR 1.136(a));
6. Power of Attorney by Assignee and Exclusion of Inventors Under 37 C.F.R. 3.71;
7. Assignee Certification Under 37 C.F.R. 3.73(b);
8. Information Disclosure Statement;
9. PTO Form 1449;

10. Copies of Citations Listed on PTO Form 1449 and;
11. Assignment Cover Letter;
12. Assignment and;
13. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED						
	Number filed		Number Extra		Rate	Basic Fee \$ 740.00
<u>Total</u>						
Claims 37 CFR 1.16(c)	62	- 20	=	42	X	\$18.00 = 756.00
Independent						
Claims (37 CFR 1.16(b))	6	- 3	=	3	X	\$84.00 = 252.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					+	\$280.00 =

X Amendment canceling extra claims enclosed.

Filing Fee Calculation	\$ <u>1,748.00</u>
Surcharge	\$ <u>130.00</u>
TOTAL FILING FEE	\$ <u>1,878.00</u>

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above)	\$ <u>939.00</u>
Amount already paid	LESS (\$ <u> </u>)
TOTAL FILING FEES DUE	\$ <u>939.00</u>
<u>X</u> Assignment recording fee	\$ <u>40.00</u>
<u>X</u> Petition fee	\$ <u>195.00</u>
TOTAL FEES DUE	\$ <u>1,174.00</u>

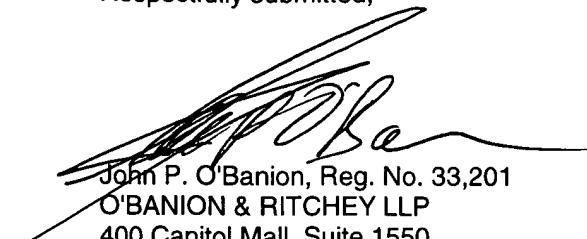
Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

**PLEASE CORRECT THE NAMES OF APPLICANTS TO MATCH THE NAMES ON THE
ENCLOSED DECLARATION.**

Dated: February 25, 2002.

Respectfully submitted,



John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

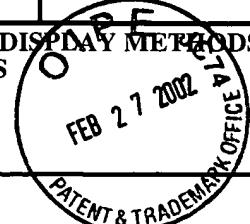
Applicant(s): DOMINIC W. MASSARO ET AL.

Docket No.

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Serial No.
09/960,248Filing Date
SEPTEMBER 20, 2001

Examiner

Group Art Unit
2644Invention: **VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS**

I hereby certify that the following correspondence:

Submission of Missing Parts of Application (Page 1 thru 3)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

February 25, 2002*(Date)***JERRY V. KING***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL737163947US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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First Preliminary Amendment (Page 1)*(Identify type of correspondence)*

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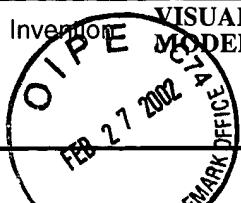
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2644Invention: **VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS**

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Combined Declaration and Power of Attorney (Page 1 thru 5)*(Identify type of correspondence)*

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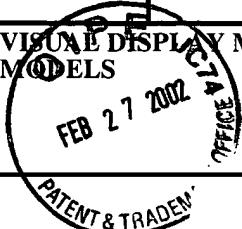
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I hereby certify that the following correspondence:

Petition for Extension of Time (Small Entity) (Page 1)*(Identify type of correspondence)*

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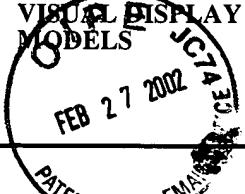
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Group Art Unit
2644Invention: **VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS**

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Power of Attorney by Assignee and Exclusion of Inventors (Page 1)*(Identify type of correspondence)*

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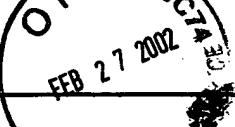
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Docket No.

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Examiner

Group Art Unit
2644Invention: **VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS**

I hereby certify that the following correspondence:

Assignee Certification (Page 1)*(Identify type of correspondence)*

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Applicant(s): DOMINIC W. MASSARO ET AL.

Docket No.

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Examiner

Group Art Unit
2644Invention: **VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS**

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Notice to file missing parts of nonprovisional application (Page 1)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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A handwritten signature of Jerry V. King.

*(Signature of Person Mailing Correspondence)***EL737163947US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

03-01-02

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CP 2644

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

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Docket No.

UC00-275-2

Serial No.

09/960,248

Filing Date

SEPTEMBER 20, 2001

Examiner

Group Art Unit

2644

Invention: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION MODELS



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I hereby certify that the following correspondence:

Technology Center 2600

Citations (22)

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February 25, 2002

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JERRY V. KING

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(Signature of Person Mailing Correspondence)

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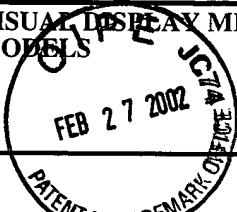
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SEPTEMBER 20, 2001

Examiner

Group Art Unit
2644Invention: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION
MODELS

I hereby certify that the following correspondence:

PTO Form 1449 (Page 1 thru 3)

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(Date)

JERRY V. KING

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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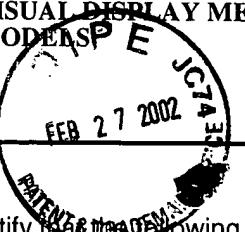
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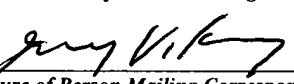
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Group Art Unit
2644Invention: VISUAL DISPLAY METHODS FOR USE IN COMPUTER-ANIMATED SPEECH PRODUCTION


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